



JC678 U.S. PTO

03/27/00

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March 27, 2000

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Attorney Docket No.: 11657-002001

Box Patent ApplicationAssistant Commissioner for Patents
Washington, DC 20231

Presented for filing is a new original patent application of:

BOSTON
DELAWARE
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SAN DIEGO
SILICON VALLEY
TWIN CITIES
WASHINGTON, DC

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Applicant: Gary L. Gastineau and Clifford Weber

Title: Determining Intra-Day Net Asset Value of an Actively Managed Exchange Traded Fund

Enclosed are the following papers, including those required to receive a filing date under 37 CFR 1.53(b):

	Pages
Specification	14
Claims	6
Abstract	1
Declaration	2
Drawing(s)	4

Enclosures:

- Assignment cover sheet and an assignment, 3 pages, and a separate \$40 fee.
- Postcard.

Basic filing fee	\$690
Total claims in excess of 20 times \$18	\$36
Independent claims in excess of 3 times \$78	\$0

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL228033242

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

March 27, 2000

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Typed or Printed Name of Person Signing Certificate

Assistant Commissioner for Patents
March 27, 2000
Page 2

Fee for multiple dependent claims	\$0
Total filing fee:	\$726

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

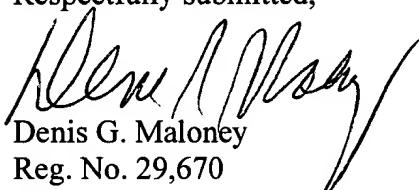
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

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Respectfully submitted,


Denis G. Maloney
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Enclosures
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